

This Statement of Capabilities (SOC) letter (letter A) is a template to use when responding to a request by Company for Los Alamos National Laboratory to participate on an SBIR/STTR proposal. The letter is provided to the Federal Sponsor through the designated proposal mechanism.

NOTE: If a Company requests a SOC letter to respond to an RFP or other federal funding announcement to which LANS/LANL is not permitted to be a Prime nor a sub (teaming), a separate letter (letter B) must be provided to the federal sponsor who is requested to make it available to all interested parties participating in the bid.

SAMPLE



Insert PI Division

Insert PI Group Name, MS

Los Alamos, New Mexico 87545

505-6Insert PI # /Fax 505-66Insert PI Fax

Date: Insert Date

Insert PI email@lanl.gov

Federal Agency

Mailing Address

City, State Zip Code

Los Alamos National Laboratory **Statement of Capabilities for SBIR/STTR/**

Reference: Brief description of LANL capabilities

Los Alamos National Security, LLC (LANS), operator of Los Alamos National Laboratory (LANL), has unique capabilities or special expertise as set out in the following Statement of Capabilities that are relevant to the following request for "DESCRIPTION" research by LANS/LANL, received on "DATE". This statement is provided in accordance with applicable Department of Energy (DOE) policy regarding LANS/LANL's participation in Federal Government solicitations, Small Business Innovative Research (SBIR), or Small Business Technology Transfer Research (STTR) grant proposals. This Statement of Capabilities is available to any interested respondent to the Federal Government solicitation to include in an SBIR/STTR grant proposal.

Special Expertise or Unique Capabilities at LANS/LANL:

Describe LANL capabilities

Unique Facilities at LANS/LANL:

Describe special or unique facilities at LANL, relevant to the above expertise and capabilities

Federal Request for Proposal (RFP)

As a DOE Management & Operating Contractor, LANS is obliged to offer the services of LANL described in this Statement of Capabilities to the Federal Government Sponsor who may in turn inform other proposers of LANS/LANL's capabilities.

If the federal soliciting agency wishes to acquire the services directly from DOE through LANS/LANL, funding to DOE for LANS/LANL participation in the Federal Government Sponsored activity may be accomplished through a Military Interdepartmental Purchase Request (MIPR).

The DOE's current policy permits its Federally Funded Research and Development Centers (FFRDCs), such as LANL, to perform work for Non-Federal Entities (NFEs) that are responding to federal government solicitations when the unique capabilities of the FFRDCs are requested

by the NFE, and under the condition that these capabilities are made available to all NFEs requesting them. The NFE is advised that LANS/LANL may not participate as a team member or subcontractor in a response to a federal competitive solicitation/acquisition, such as a Request for Proposal (RFP); however, a Statement of Capabilities may be provided. LANL services and materials may only be provided if the work does not place the Laboratory in competition with the domestic private sector and only through a DOE/NNSA approved mechanism such as a Work for Others Agreement or Cooperative Research and Development Agreement as described below.

SBIR/STTR

The DOE's current policy permits its FFRDCs to perform work for small businesses responding to an SBIR and/or STTR call when the capabilities of the FFRDCs are requested by a small business and the work can be performed within the funds approved for FFRDCs. This Statement of Capabilities is consistent with current DOE policy. Should the small business requesting LANL's services for inclusion in an SBIR/STTR call for proposals become a successful awardee, a Cooperative Research and Development Agreement (CRADA) or a non-federal Strategic Partnership Projects agreement for reimbursable work (both of which require DOE review and approval in accordance with DOE policies and regulations), must be in place before any work by LANS/LANL can begin.

Under the Strategic Partnership Projects/Non-Department of Energy Funded Work Funds-In Agreement (SPP/FIA) or Cooperative Research and Development Agreement (CRADA) - Cash advances from the non-federal entity are required prior to authorizing work under these agreements, in accordance with the DOE Accounting Handbook, Chapter13. Full funding is required prior to beginning work when the estimated cost is \$25,000 or less, or if it will be completed within 90 days or less. For an agreement that is greater than \$25,000 and with a period of performance greater than 90 days, the non-federal entity has the option of requesting that funds be advanced on an incremental basis. Funds shall be advanced sufficient to maintain a continuous 60 day advance of funds during the period of performance of the agreement. The initial invoice for funds in such cases will be for 90 days.

Insert PI Name

Insert Name

Title, Staff Member/ PI

Strategic Sponsored Work Program Manager

Cc:
Line Manager
Program Manager
FCI Agreement Specialist

Attachments: (Based on proposal requirements may include: SOW, Detailed budget, Detailed Justification, current/pending support, bio sketches, list of facilities/ equipment to be used, etc...)